Application Serial No.: 09/553,423 Attorney Docket No.: 99-1002 (note new docket number)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Stuart A. Fraser et al. Date: March 13, 2007

Serial No.: 09/553,423 Confirmation No.: 3987 Filed: April 19, 2000 Group Art Unit: 3693

For: SYSTEMS AND METHODS FOR TRADING Examiner: Harish T. Dass

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/SUBMISSION

Sir:

This is a response to the non-final Office Action mailed October 13, 2006 in the above-identified application. Reconsideration of the application is respectfully requested. It is noted that the use of the word "we" hereinafter refers to Applicants.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of the paper.

Remarks/Arguments begin on page 8 of this paper.

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Petition for Extension of Time

Pursuant to 37 C.F.R. § 1.136(a), we hereby petition for a two-month extension of time to respond to the Office Action dated October 13, 2006. With the extension, the time for replying is extended up to and including March 13, 2007.

The Commissioner for Patents is hereby authorized to charge Deposit Account No. 50-3938 in the amount of \$450.00 in connection with the petition for extension of time. The Commissioner for Patents is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.17 in connection with the present application, or credit any overpayment of same, to Deposit Account No. 50-3938.